PTO/SB/21 (09-04)\*
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

CATRADEN APENDIX REGISTRACTOR 1995, 110 p	ersons are required to res	Patent Number	ation unless it displays a valid OMB contrar number.  7,158,947		
TRANSMITTAL FORM		Issue Date	January 2, 2007		
		First named inventor			
		Title	Method for Selectively Blocking Remote Purchase Requests		
Total number of pages in this submission	6	Attorney Docket No.	NE1.008		
E	NCLOSURES (	Check all that apply)			
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/Declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Reply to Missing Parts/Incomplete Application	Petition  Petition to C Provisional A  Power of Att Revocation Corresponde Terminal Dis Request for  CD, Number	Application corney, Change of ence Address sclaimer Refund	After Allowance Communication to TC  Appeal Communication to Board of Appeals and Interferences  Appeal to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Credit Card Payment Form PTO-2038  Other Enclosure(s) (please identify below):  Certificate of Correction  Letter Accompanying		
Reply to Missing Parts under 37 CFR 1.52 or 1.53	Remarks:		Certificate of Correction		
7		· · · · · · · · · · · · · · · · · · ·			
SIGNATURE OF	APPLICANT. AT	TORNEY OR AGEN	NT REQUIRED		
Signature: Signature:			Telephone: 650-941-4470		
Name: Jonathan A Small	Regist	ration no. 32, 631	Date: February 5, 2007		
	( )	WO OR TO ! !!!			
CERTIFICATE OF MAILING OR TRANSMISSION  I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Attn. Certificate of Correction Branch, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.					
Signature:			Telephone: 650-941-4470		
Name: Johnathan A. Small	Registi	ration no. 32, 631	Date: February 5, 2007		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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7000		Co	mplete if known
FER OF FEE TRA	NEMITTAL	Patent Number	7,158,947
1 32/ .	NSWILLAL	Issue Date	01/02/2007
STATE THAT SHE	•	First named inventor	Thomas A. Findley
	antitu atatus San 27 CER 4 27	Application number	10/782,023
☐ Applicant claims small entity status. See 37 CFR 1.27		Filing Date	02/18/2004
TOTAL AMOUNT OF PAYMENT	(\$) 230.00	Attorney Docket No.	NE1.008

METHOD OF PAYMENT (check all that apply)						
☐ Check ☐ Credit Card (Form PTO-2038 enclosed)	Other (please identify):					
Deposit Account: Number:	Account name:					
For the above-identified deposit account, the Director is hereb	y authorized to: (check all that apply)					
☐ Charge fee(s) indicated below ☐ Credit any overpayments						
☐ Charge any additional fee(s) or underpayment of fee(s) under 37 C.F.R. 1.16 and 1.17						
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						

1. Basic Filing, Search, and Examination Fees								
	Filing Fee		Search Fee		Examination Fee			
		Small Entity		Small Entity	•	Small Entity		
Application Type	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fees Paid (\$)	
Utility	300	150	500	250	200	100	00.00	
Design	200	100	100	50	130	65	00.00	
Plant	200	100	300	150	160	80	00.00	
RCE	790	395					00.00	
Provisional	200	100					00.00	

**Fee Calculation** 

## 2. EXCESS CLAIM FEES

	Fee (\$)	Small Entity Fee(\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
		^

Total Claims		Extra claims	Fee(\$)	Fee Paid (\$)	Multiple	e Dependent Claims
	- 20 =		Χ .	=	Fee (\$)	Fee Paid (\$)
	- 3 =		х	=		\$00.00

### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets, the application size fee is \$250 (\$125 for small entity) for each additional 50 sheets or fractions thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s)

Total Sheets		Extra Sheets		Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
	- 100		/50		Х	= \$00.00

# 4. OTHER FEE(S)

37 CFR 1.20(a) – certificate of correction fee	Fee Paid \$100.00
37 CFR 1.17(i) – processing fee	Fee Paid \$130.00

SUBMITTED	BY /		/7		·
Signature:	SA	e			Telephone: 650-941-4470
Name:	Jonath	an A. Sm	nall )	Registration no. 32, 63	31 Date: February 5, 2007

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO.

: 7,158,947

APPLICATION NO.: 10/782,023

**ISSUE DATE** 

: 01/02/2007

INVENTOR(S)

: Thomas A. Findley

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the first page of the patent, delete Assignee listed as "Innovation Management Sciences, Mt. View, CA" and replace with -- Searchlight Advances, LLC, Mt. View, CA --.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Jonathan A. Small JAS IP Consulting 343 2<sup>nd</sup> Street Los Altos, CA 94022

(Attorney of record)

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



# **PATENT**

#### CERTIFICATE OF MAILING

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on February 5, 2007.

Typed or printed name of person signing this certificate

Jonathan A. Sma

Signature:

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patentee: Thomas A. Findley

Patent No.: 7,158,947

Application No.: 10/782,023

Issue Date: January 2, 2007

Filing Date: February 18, 2004

Title: Method for Selectively Blocking Remote Purchase Requests

Attn: Certificate of Corrections Branch

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Letter Accompanying Certificate of Correction - Patentee's Mistake

Sir:

Patentee submits the accompanying Certificate of Correction in order to correct an error identified therein. The error is based on a mistake made by the Patentee when completing the Issue documentation in the subject application. Specifically, the undersigned mistakenly inserted "Innovation Management Sciences" as assignee, when the proper assignee is in fact "Searchlight Advances, LLC".

The assignment indicating the proper assignee (Searchlight Advances, LLC) is recorded at reel 017519, frame 0883.

The Patent Office's prompt consideration and entry of this Certificate of Correction is respectfully requested.

Respectfully submitted,

Jonathan A. Small

Attorney for Patentee Registration No. 32,631

Telephone: 650-941-4470

343 Second St., Suite F Los Altos, California

Date: February 5, 2007